



PATENT  
Customer No. 22,852  
Attorney Docket No. 2887.0258

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
TOSHIYUKI SASAKI ET AL. ) Group Art Unit: 2812  
)  
Application No.: 10/688,965 ) Examiner: S.B. Geyer  
)  
Filed: October 21, 2003 ) Confirmation No.: 7425  
)  
For: METHOD OF MANUFACTURING )  
A SEMICONDUCTOR DEVICE )  
INCLUDING A DIELECTRIC FILM )  
FORMED BETWEEN FIRST AND )  
SECOND ELECTRODE LAYERS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a Notification of Reason for Rejection issued by the Japanese Patent Office, mailed March 14, 2006, in a counterpart Japanese application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, an English language version of the Notification of Reason for Rejection issued by the Japanese Patent Office in a counterpart Japanese application citing these documents and setting forth the relevance thereof is enclosed. In addition, English-language abstract of the foreign documents is provided.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: \_\_\_\_\_

4/20/06

By: \_\_\_\_\_

Richard V. Burgujian  
Reg. No. 31,744

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	10/688,965
				Filing Date	October 21, 2003
				First Named Inventor	Toshiyuki Sasaki
				Art Unit	2812
				Examiner Name	S.B. Geyer
Sheet	1	of	1	Attorney Docket Number	2887.0258

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
OIP E APR 20 2006 PATENT & TRADEMARK OFFICE		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		JP 2003-179169	6/27/03	Toshiba Corp.		Abstract
		JP 2002-368127	12/20/02	Hynix Semiconductor Inc.		Abstract
		JP 2001-168208	6/22/01	Sanyo Electric Co., Ltd.		Abstract
		JP 5-291567	11/5/93	Toshiba Corp.		Abstract
		JP 3-157937	7/5/91	Philips Gloeilampenfab:NV		Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Notification of Reason for Rejection issued by the Japanese Patent Office, mailed March 14, 2006, for Japanese Patent Application No. 203945/2003, and English-language translation thereof	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.